

Alstom S.A. (World Bank)

Case ID:

ARW-12

Jurisdiction of Origin of Public Official or Entity Allegedly Involved:

Zambia

Position of Public Official(s) (yrs in office):

unspecified former government official

Jurisdiction of Asset Recovery:

World Bank

Jurisdiction of Asset Recovery ? Description:

Undertaking Recovery Effort, Location of Recovery Effort

Asset Recovery End:

Ongoing

UNCAC Offenses Implicated:

Art.16

Legal Basis for Asset Recovery:

Administrative Sanctions (Negotiated Resolution Agreement)

Contributing Factors in Asset Recovery:

The World Bank's Negotiated Resolution Agreement with Alstom included a provision for the payment of restitution by the company. (Source: World Bank Press Release, "Enforcing Accountability: World Bank Debars Alstom Hydro France, Alstom Network Schweiz AG, and their Affiliates," February 22, 2012.)

Status of Asset Recovery :

Ongoing

Stage in Asset Recovery Chain:

Other

Assets Adjudicated, Not Yet Returned - Description:

\$9,500,000

Case Summary:

According to the February 2012 press release by the World Bank's Integrity Vice Presidency, "The World Bank Group today announced the debarment of Alstom Hydro France and Alstom Network Schweiz AG (Switzerland) - in addition to their affiliates - for a period of three years following Alstom's acknowledgment of misconduct in relation to a Bank-financed hydropower project. The debarment is part of a Negotiated Resolution Agreement between Alstom and the World Bank which also includes a restitution payment by the two companies totaling approximately \$9.5 million. [] In 2002, Alstom made an improper payment of €110,000, to an entity controlled by a former senior government official for consultancy services in relation to the World Bank-financed Zambia Power Rehabilitation Project. During the debarment period of Alstom Hydro France and Alstom Network Schweiz AG, Alstom SA and its other affiliates are conditionally non-debarred." (Source: World Bank Press Release, "Enforcing Accountability: World Bank Debars Alstom Hydro France, Alstom Network Schweiz AG, and their Affiliates," February 22, 2012.) In the World Bank Integrity Vice Presidency's fiscal year 2012 Annual Report, the World Bank President Jim Yong Kim stated, "the World Bank Group will continue to work with patience and perseverance on the thornier parts of fighting corruption: [] designing an Anti-Corruption Fund that equitably and purposefully distributes

recovered assets and restitution payments to those who would benefit the most." (Source: Integrity Vice Presidency, "Annual Report Fiscal Year 2012: Finding the Right Balance," The World Bank (October 2012), at iv.)

Disposition of Criminal Case(s):

N/A (Administrative sanctions)

Jurisdiction of Asset Recovery: Investigative Agency:

World Bank Integrity Vice Presidency

Jurisdiction of Asset Recovery: Prosecuting Authority/Civil Attorney(s):

World Bank Integrity Vice Presidency

Documents:

Attachment	Size
 Alstom_World Bank_Debar_Press_Release_Feb_22_2012.pdf	254.56 KB
 Alstom_World_Bank_Annual_Report_2012.pdf	12.55 MB

Other Sources:

World Bank Press Release, "Enforcing Accountability: World Bank Debars Alstom Hydro France, Alstom Network Schweiz AG, and their Affiliates," February 22, 2012, accessed at <http://web.worldbank.org/WBSITE/EXTERNAL/NEWS/0,,contentMDK:23123315~pagePK:64257043~pi>; World Bank Integrity Vice Presidency Fiscal Year 2012 Annual Report, at <http://www-wds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2...>