

A

General Information

Please provide contact details for potential follow-up questions. Contact details will be treated confidentially.

Country

Government Agency

Department

Contact Name

Position

Phone Number

Email

C3

Case Statistics

Asset Freezes/Seizures (Provisional Measures), 2010–2019

In this section, please provide details on international asset recovery efforts involving your jurisdiction in which proceeds of corruption **have been frozen or seized using provisional measures in your jurisdiction—or at your jurisdiction’s request**—between 01 January 2010 and 31 December 2019.

Cases in which assets have been returned to another jurisdiction are covered in [section C1 above](#). Cases in which assets have been confiscated are covered in [section C2 above](#).

Please report only one case per table. To report more than five cases in any subsection, please re-download this questionnaire and fill in only section A and any additional cases in section C.

For further details on the criteria for international asset recovery cases that are included in this study, please refer to the guidance notes on [page 4](#).

Case title¹**Foreign jurisdiction(s) involved²**

Role of your jurisdiction in this asset return <i>(select all that apply)</i>	Country of origin	Country of asset location	Transit country
	Country that initiated legal action to recover proceeds of corruption		
	A different role:		

Asset amount at time of freeze/seizure	Asset amount	Currency
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Asset type at time of freeze/seizure <i>(select all that apply)</i>	Cash/bank deposit	Securities	Real estate
	Legal entity or arrangement	Motor vehicle	Yacht/boat
	Aircraft	Artwork	Jewellery
	Other:		

Date of asset freezing/seizure order⁷	Date (month/year or time span)
	Jurisdiction

Enforcement of asset freezing/seizure order, if applicable	Date (month/year or time span)
	Jurisdiction

Current status of the freeze/seizure	Assets are still frozen/seized	Asset freeze/seizure is under appeal
	Freeze/seizure was released	Unknown
	If freeze/seizure was released:	
	Date of release	
	Reason for release	

How was the asset freeze/seizure initiated?	By an MLA freezing/seizure request from the country of origin
	By an MLA freezing/seizure request from a third country
	By a domestic investigation by law enforcement authorities (independent from foreign request)
	By an FIU action to suspend a suspicious transaction ⁸
	By an independent action from a financial institution ⁹
	In a different way:

What was the legal basis for freeze/seizure, if applicable? <i>(select all that apply)</i>	Conviction-based criminal prosecution and forfeiture	Administrative freeze
	Non-conviction based confiscation (NCB)	Settlement
	Private civil actions (incl. insolvency process)	N/A (no legal process in my jurisdiction)
	Other:	

What was the legal basis for international cooperation, if applicable?
(select all that apply)

UNCAC
Bilateral Mutual Legal Assistance Treaty
Reciprocity
N/A
Another legal basis (incl. other multilateral treaties):

Which asset recovery networks or partnerships were used to help facilitate this asset recovery effort?
(select all that apply)

ARIN-AP
ARIN-CARIB
ARIN-EA
ARINSA
ARIN-WA
Other:

ARIN-WCA
CARIN
Egmont Group
RRAG
IACCC

EU's AROs
INTERPOL/
StAR Global
Focal Point
Network

StAR Technical Assistance
ICAR Technical Assistance

Is/was there an investigation/prosecution related to this case: 1) in the country of origin, 2) in the country of asset location?
(select all that apply)

Yes, in the country of origin
No
Yes, in the country of asset location
Don't know

If YES: What is the status of the investigations/prosecutions?

Country of origin: Ongoing Concluded Don't know
Country of asset location: Ongoing Concluded Don't know
Outcome(s):

➔ Add another case on the next page or [skip to section D](#)

Case title¹**Foreign jurisdiction(s) involved²**

Role of your jurisdiction in this asset return (select all that apply)	Country of origin	Country of asset location	Transit country
	Country that initiated legal action to recover proceeds of corruption		
	A different role:		

Asset amount at time of freeze/seizure	Asset amount	Currency
---	--------------	----------

Asset type at time of freeze/seizure (select all that apply)	Cash/bank deposit	Securities	Real estate
	Legal entity or arrangement	Motor vehicle	Yacht/boat
	Aircraft	Artwork	Jewellery
	Other:		

Date of asset freezing/seizure order⁷	Date (month/year or time span)
	Jurisdiction

Enforcement of asset freezing/seizure order, if applicable	Date (month/year or time span)
	Jurisdiction

Current status of the freeze/seizure	Assets are still frozen/seized	Asset freeze/seizure is under appeal
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	In a different way:

What was the legal basis for freeze/seizure, if applicable? (select all that apply)	Conviction-based criminal prosecution and forfeiture	Administrative freeze
	Non-conviction based confiscation (NCB)	Settlement
	Private civil actions (incl. insolvency process)	N/A (no legal process in my jurisdiction)
	Other:	

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N/A
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ICAR Technical Assistance

Is/was there an investigation/prosecution related to this case: 1) in the country of origin, 2) in the country of asset location?
(select all that apply)

Yes, in the country of origin
No
Yes, in the country of asset location
Don't know

If YES: What is the status of the investigations/prosecutions?

Country of origin: Ongoing Concluded Don't know
Country of asset location: Ongoing Concluded Don't know
Outcome(s):

 Add another case on the next page or [skip to section D](#)

Case title¹**Foreign jurisdiction(s) involved²**

Role of your jurisdiction in this asset return <i>(select all that apply)</i>	Country of origin	Country of asset location	Transit country
	Country that initiated legal action to recover proceeds of corruption		
	A different role:		

Asset amount at time of freeze/seizure	Asset amount	Currency
---	--------------	----------

Asset type at time of freeze/seizure <i>(select all that apply)</i>	Cash/bank deposit	Securities	Real estate
	Legal entity or arrangement	Motor vehicle	Yacht/boat
	Aircraft	Artwork	Jewellery
	Other:		

Date of asset freezing/seizure order⁷	Date (month/year or time span)
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Enforcement of asset freezing/seizure order, if applicable	Date (month/year or time span)
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	By an FIU action to suspend a suspicious transaction ⁸
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	In a different way:

What was the legal basis for freeze/seizure, if applicable? <i>(select all that apply)</i>	Conviction-based criminal prosecution and forfeiture	Administrative freeze
	Non-conviction based confiscation (NCB)	Settlement
	Private civil actions (incl. insolvency process)	N/A (no legal process in my jurisdiction)
	Other:	

What was the legal basis for international cooperation, if applicable?
(select all that apply)

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Is/was there an investigation/prosecution related to this case: 1) in the country of origin, 2) in the country of asset location?
(select all that apply)

Yes, in the country of origin
No
Yes, in the country of asset location
Don't know

If YES: What is the status of the investigations/prosecutions?

Country of origin: Ongoing Concluded Don't know
Country of asset location: Ongoing Concluded Don't know
Outcome(s):

 Add another case on the next page or [skip to section D](#)

What was the legal basis for international cooperation, if applicable?
(select all that apply)

UNCAC
Bilateral Mutual Legal Assistance Treaty
Reciprocity
N/A
Another legal basis (incl. other multilateral treaties):

Which asset recovery networks or partnerships were used to help facilitate this asset recovery effort?
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Is/was there an investigation/prosecution related to this case: 1) in the country of origin, 2) in the country of asset location?
(select all that apply)

Yes, in the country of origin
No
Yes, in the country of asset location
Don't know

If YES: What is the status of the investigations/prosecutions?

Country of origin: Ongoing Concluded Don't know
Country of asset location: Ongoing Concluded Don't know
Outcome(s):

➔ Add another case on the next page or [skip to section D](#)

Case title¹

Foreign jurisdiction(s) involved²

Role of your jurisdiction in this asset return
(select all that apply)

Country of origin Country of asset location Transit country
Country that initiated legal action to recover proceeds of corruption
A different role:

Asset amount at time of freeze/seizure

Asset amount Currency

Asset type at time of freeze/seizure
(select all that apply)

Cash/bank deposit Securities Real estate
Legal entity or arrangement Motor vehicle Yacht/boat
Aircraft Artwork Jewellery
Other:

Date of asset freezing/seizure order⁷

Date (month/year or time span)
Jurisdiction

Enforcement of asset freezing/seizure order, if applicable

Date (month/year or time span)
Jurisdiction

Current status of the freeze/seizure

Assets are still frozen/seized Asset freeze/seizure is under appeal
Freeze/seizure was released Unknown

If freeze/seizure was released:

Date of release
Reason for release

How was the asset freeze/seizure initiated?

By an MLA freezing/seizure request from the country of origin
By an MLA freezing/seizure request from a third country
By a domestic investigation by law enforcement authorities (independent from foreign request)
By an FIU action to suspend a suspicious transaction⁸
By an independent action from a financial institution⁹
In a different way:

What was the legal basis for freeze/seizure, if applicable?
(select all that apply)

Conviction-based criminal prosecution and forfeiture Administrative freeze
Non-conviction based confiscation (NCB) Settlement
Private civil actions (incl. insolvency process) N/A (no legal process in my jurisdiction)
Other:

What was the legal basis for international cooperation, if applicable?
(select all that apply)

UNCAC
Bilateral Mutual Legal Assistance Treaty
Reciprocity
N/A
Another legal basis (incl. other multilateral treaties):

Which asset recovery networks or partnerships were used to help facilitate this asset recovery effort?
(select all that apply)

ARIN-AP
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Other:

Is/was there an investigation/prosecution related to this case: 1) in the country of origin, 2) in the country of asset location?
(select all that apply)

Yes, in the country of origin
Yes, in the country of asset location
No
Don't know

If YES: What is the status of the investigations/prosecutions?

Country of origin: Ongoing Concluded Don't know
Country of asset location: Ongoing Concluded Don't know
Outcome(s):

To report more than five cases in this section, please re-download this questionnaire and fill in only section A and any additional cases in section C.

Notes for section C

1. The case title can be the name of an entity or individual involved (or allegedly involved) or a different case identifier may be used.
2. This refers to foreign jurisdiction(s) involved in this case, e.g. the country of origin where the underlying offences of corruption are alleged to have occurred; country of asset location; or a third country that initiated legal action to recover assets.
3. If there is a significant discrepancy between asset amount at confiscation and asset amount at time of return (e.g. due to depreciation or liquidation costs), then please include both asset amount at confiscation and asset amount at time of return in this field.
4. If the return was completed in several tranches, give approx. time spans of tranches. If information on month/year is not available, please give at least the year of the return. If return has not yet taken place but is planned to be completed before the end of 2019, please give expected date of asset return.
5. A third party is defined as a party other than the country of origin, the country of asset location, and their legal/financial representatives.
6. See article 2(g) UNCAC
7. See article 2(f) UNCAC
8. See "FIU power to suspend a suspicious transaction" in the glossary
9. This refers to situations where a financial institution decides to freeze assets and notifies authorities of their action (absent a freezing/seizure order from a court).

Section C: Additional Notes (if needed)

Please note which case number any additional notes are referring to.