

## GUIDE TO STAR ASSET RECOVERY WATCH

StAR Asset Recovery Watch is a partnership between the World Bank Group and the United Nations Office on Drugs and Crime (UNODC) that supports international efforts to end safe havens for corrupt funds. StAR works with developing countries and financial centers to prevent the laundering of the proceeds of corruption and to facilitate more systematic and timely return of stolen assets. The objective of StAR Asset Recovery Watch is to collect and systematize information about completed and ongoing (active) asset recovery cases that have an international dimension.

Please ensure that StAR Asset Recovery Watch is as current, accurate and comprehensive as possible by sending us updated information regarding the cases currently in the Watch, as well as cases for future inclusion in it. We also welcome your comment and feedback. Please write to us at [starinitiative@worldbank.org](mailto:starinitiative@worldbank.org). Thank you!

**United Nations Convention against Corruption:** The Database is comprised of cases where the underlying offenses implicated (or are alleged to implicate) the following articles of the United Nations Convention against Corruption (UNCAC):

- Article 15. Bribery of national public officials
- Article 16. Bribery of foreign public officials and officials of public international organizations
- Article 17. Embezzlement, misappropriation or other diversion of property by a public official
- Article 18. Trading in influence
- Article 19. Abuse of functions
- Article 20. Illicit enrichment
- Article 23. Laundering of proceeds of crime
- Article 25. Obstruction of justice

The complete text of UNCAC is available at <http://www.unodc.org/unodc/en/treaties/CAC/index.html>. For detailed discussion of UNCAC offenses, please see, *Asset Recovery Handbook: A Guide for Practitioners* (Stolen Asset Recovery Initiative, December 2010), at 187-192: [http://www1.worldbank.org/finance/star\\_site/documents/arhandbook/ar\\_handbook\\_final.pdf](http://www1.worldbank.org/finance/star_site/documents/arhandbook/ar_handbook_final.pdf)

**Legal Library on the United Nations Convention against Corruption:** To further assist researchers and all persons interested in international asset recovery and anti-corruption issues, all ARW entries are linked to the **Legal Library on the United Nations Convention against Corruption (UNCAC)**, which provides a unique gateway to an electronic database of legislation and jurisprudence relevant to UNCAC from over 175 States systematized in accordance with the requirements of the Convention. Please click on the name of the country as it appears in ARW to be taken to the pertinent country analysis page of the UNCAC Legal Library.

The Legal Library is part of **TRACK (Tools and Resources for Anti-Corruption Knowledge)**, developed by the United Nations Office on Drugs and Crime (UNODC). To access the Legal Library generally and to read more about TRACK, please see <http://www.track.unodc.org/Pages/home.aspx>.

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*Note on Indictments as Source of Information:* Some case entries contain information derived from indictments. Please note that an indictment is merely an accusation and a defendant is presumed innocent until proven guilty beyond a reasonable doubt.

**Disclaimer:** The StAR Asset Recovery Watch is a product of the staff of the International Bank for Reconstruction and Development / The World Bank. It is intended for general information purposes only. The findings, interpretations, and conclusions expressed in the Database do not necessarily reflect the views of the Executive Directors of The World Bank or the governments they represent. The World Bank does not guarantee the accuracy of the data included in this work. Neither the World Bank Group nor its officers or employees shall be liable for any losses that may result directly or indirectly from the use of or reliance upon such information.

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### STAR ASSET RECOVERY WATCH DATABASE FIELDS AND EXPLANATION

FIELD NAME	EXPLANATION
Case	Cases are identified by the name of the main public official or entity involved (or <i>allegedly</i> involved, in some instances). For definition of “Public Official,” please see United Nations Convention against Corruption, Article 2: <a href="http://www.unodc.org/unodc/en/treaties/CAC/index.html">http://www.unodc.org/unodc/en/treaties/CAC/index.html</a>
Position of Public Officials/Persons (Years in Office)	Position held by public official while underlying offenses were committed (or <i>allegedly</i> committed, in some instances).
Jurisdiction of Origin	Jurisdiction of the involved public officials / entity. For foreign bribery related cases, refers to the location of the involved company’s headquarters.  The UNCAC Legal Library country analysis page can be accessed (where pertinent) by clicking on the jurisdiction’s name.
Jurisdiction of Asset Recovery	Name of the jurisdiction involved in the asset recovery. Please see also the Jurisdiction of Asset Recovery - Description field below.  The UNCAC Legal Library country analysis page can be accessed (where pertinent) by clicking on the jurisdiction’s name.
Jurisdiction of Asset Recovery – Description	Three main types of involvement by a jurisdiction in the recovery effort are tracked in ARW: (1) Undertaking Recovery Effort, (2) Location of Recovery Effort, and (3) Asset Location / Alleged Asset Location.
UNCAC Offense(s) Implicated	Articles 15-20, Article 23 and Article 25 of the United Nations Convention against Corruption (UNCAC). Text of UNCAC at <a href="http://www.unodc.org/unodc/en/treaties/CAC/index.html">http://www.unodc.org/unodc/en/treaties/CAC/index.html</a> . For detailed discussion of UNCAC offenses, see StAR Asset Recovery Handbook, at 187-192: <a href="http://www1.worldbank.org/finance/star_site/documents/arhandbook/ar_handbook_final.pdf">http://www1.worldbank.org/finance/star_site/documents/arhandbook/ar_handbook_final.pdf</a>
Status of Asset Recovery	Field used for sorting by the case’s status: completed, ongoing, completed (in part) and ongoing (in part).
Asset Recovery Chain - Placement	Field used for sorting the case by the stage that it is in.
Legal Basis for Asset Recovery	Asset recovery efforts encompass a range of criminal and civil legal avenues. This field categorizes the recovery effort along five legal bases of asset recovery: Criminal prosecution and forfeiture, Non-Conviction Based Confiscation, Private Civil Action, Actions Initiated by Foreign Jurisdictions, Administrative Confiscation. Criminal Restitution and Criminal Fines are also included. (For further explanation, see StAR Asset Recovery Handbook, at 9-14: <a href="http://www1.worldbank.org/finance/star_site/documents/arhandbook/ar_handbook_final.pdf">http://www1.worldbank.org/finance/star_site/documents/arhandbook/ar_handbook_final.pdf</a> )
Assets Frozen (USD) - Current	Amounts <i>currently</i> frozen.
Assets Not Yet Returned/Judgment (USD)	Amounts <i>currently</i> adjudicated but not yet returned. Please note that in cases where judgments have been rendered, there may not yet be funds frozen to

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– Current	satisfy the judgment.
Assets Returned (USD)	Amounts returned.
Asset Recovery Start (Year)	When there was a Mutual Legal Assistance (MLA) request sent, the year of the request is given. Where an investigation in the recovery jurisdiction is initiated prior to the MLA request the year when the investigation was initiated.
Asset Recovery End (Year)	The year the recovery jurisdiction has returned the funds to the jurisdiction of origin. In a few cases the year the funds are returned to an escrow account in the jurisdiction of origin pending finalization of the case in that jurisdiction.
Agreement / Arrangement re: Returned Assets?	UNCAC Article 57(5) provides that “Where appropriate, States Parties may also give special consideration to concluding agreements or mutually acceptable arrangements, on a case-by-case basis, for the final disposal of confiscated property.” Ongoing=Ongoing Case
Agreement / Arrangement re: Returned Assets (Explanation)	Explanation of agreement or arrangement, if applicable.
International Cooperation Mechanism: MLAT/Letter of Request	Field used to track whether a Mutual Legal Assistance Treaty (MLAT) or Letter of Request (Letter of Rogatory) was used to obtain international cooperation. Please note that other means of cooperation were not tracked.
Basis for International Cooperation Mechanism	Explanation and/or citation of relevant treaty or legislation involved.
Disposition of Criminal Case(s)	As applicable.
Summary	Brief summary of the case.
Contributing factors in asset recovery	Factors that contributed to asset recovery. For active cases, noted as “Ongoing Case.”
Sources	Where the sources are available online, the web address/link is provided as reference only. The source documents have been saved as PDF documents and attached to each entry.
Investigative Agency (JAR)	JAR=Jurisdiction of Asset Recovery
ProsecAuth/Civil Atty (JAR)	ProsecAuth=Prosecuting Authority. For private civil actions, names of the law firms and lead attorneys.
Courts Involved (JAR)	
Investigative Agency (JO)	JO=Jurisdiction of Origin
ProsecAuth/Civil Atty (JO)	
Courts Involved (JO)	